

J019 PCT/PTO 0 7 JUN 2001

FORM PTO 1390  
(REV. 5-93)

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

**TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A FILING UNDER 35 U.S.C. 371**

ATTORNEY DOCKET NUMBER  
1935-00082

U.S. APPLICATION NO. (if known, see 37 CFR 1.55)  
**09/857679**

INTERNATIONAL APPLICATION NO.  
PCT/NO99/00366

INTERNATIONAL FILING DATE  
6 December 1999

PRIORITY DATE CLAIMED  
10 December 1998

TITLE OF INVENTION  
DEVICE BY TOOLS FOR SETTING OF A PRODUCTION PACKER

APPLICANT(S) FOR DO/EO/US  
Ole KVERNSTUEN Sv; Terje MOEN

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(I).
4. ☐ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
  - a. ☒ is transmitted herewith (required only if not transmitted by the International Bureau).
  - b. ☐ has been transmitted by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ A translation of the International Application into English (35 U.S.C. 371(c)(2)).
7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
  - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
  - b. ☐ have been transmitted by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☐ have not been made and will not be made.
8. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☐ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11. to 16. below concern other document(s) or information included:

11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☒ A **FIRST** preliminary amendment.  
☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
14. ☐ A substitute specification.
15. ☐ A change of power of attorney and/or address letter.
16. ☒ Other items or information:
  - ☒ Applicant claims small entity status
  - ☒ Supplement to Transmittal Letter

U.S. APPLICATION NO. (if known, see 37 CFR 1.5) <div style="font-size: 1.2em; font-weight: bold;">09/857679</div>		INTERNATIONAL APPLICATION NO. PCT/NO99/00366		ATTORNEY'S DOCKET NUMBER 1935-00082	
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17.	The following fees are submitted:  <b>Basic National Fee (37 CFR 1.492(a)(1)-(5)):</b> Search Report has been prepared by the EPO or JPO..... \$ 860.00 International preliminary examination fee paid to USPTO (37 CFR 1.482)... \$ 690.00 No international preliminary examination fee paid to USPTO (37 CFR 1.482) but international search fee paid to USPTO (37 CFR 1.445(a)(2))..... \$ 710.00 Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO..... \$ 1,000.00 International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(2)-(4)..... \$ 100.00  <div style="text-align: center;">ENTER APPROPRIATE BASIC FEE AMOUNT =</div>	\$1,000.00	
Surcharge of \$130.00 for furnishing the National fee or oath or declaration later than <input type="checkbox"/> 20 <input checked="" type="checkbox"/> 30 Months from the earliest claimed priority date (37 C.F.R. 1.491(3)).		+ 130.00	
Claims	Number Filed	Number Extra	Rate
Total Claims	16 - 20 =		x \$ 18.00
Independent Claims	1 - 3 =		x \$ 80.00
Multiple dependent claim(s) (if applicable)			+ \$270.00
TOTAL OF ABOVE CALCULATIONS		=	\$1,130.00
Reduction by 1/2 for filing by small entity, if applicable. Verified Small Entity statement must also be filed. (Note 37 C.F.R. 1.9, 1.27, 1.28).		-	565.00
SUBTOTAL		=	\$ 565.00
Processing fee of \$130.00 for furnishing the English Translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 C.F.R. 1.492(f)).			
TOTAL NATIONAL FEE		=	\$ 565.00
Fee for recording the enclosed assignment (37 C.F.R. 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 C.F.R. 3.28, 3.31). \$40.00 per property +			
TOTAL FEES ENCLOSED		=	\$ 565.00
			Amount to be
			Charged:

a. <input checked="" type="checkbox"/>	A check enclosed in the amount of \$ <u>565.00</u> to cover the above fees is enclosed.
b. <input type="checkbox"/>	Please charge my Deposit Account No. <u>01.2000</u> in the amount of \$ <u>      </u> to cover the above fees. A duplicate copy of this sheet is enclosed.
c. <input type="checkbox"/>	The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>01.2000</u> . A duplicate copy of this sheet is enclosed.

**NOTE:** Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:	ANDRUS, SCEALES, STARKE & SAWALL, LLP 100 East Wisconsin Avenue, Suite 1100 Milwaukee, Wisconsin 53202	<div style="text-align: center;">           Signature       </div> <div style="text-align: center;"> <u>6/7/01</u>          Date       </div>
		<div style="text-align: center;"> <u>Daniel D. Fetterley</u>          Name       </div> <div style="text-align: center;"> <u>20,323</u>          Reg. No.       </div>

531 Rec'd

07 JUN 2001

U.S. APPLICATION NO. (if known, see 37 C.F.R. 1.53)

09/857679

INTERNATIONAL APPLICATION NO.

PC/NO99/00366

ATTORNEY'S DOCKET NUMBER

1935-00082

## CERTIFICATE OF EXPRESS MAIL

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as EXPRESS MAIL-POST OFFICE TO ADDRESSEE, in an envelope addressed to: BOX PCT, COMMISSIONER OF PATENTS AND TRADEMARKS, WASHINGTON, D.C. 20231 on the 7th day of June, 2001. Express Mail Label EL812749932US.

Daniel D. Fetterley

20,323

Name

Reg. No.

Daniel D. Fetterley6/7/01

Signature

Date

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of	)	Group Art Unit:
OLE KVERNSTUEN, Sv. ET AL.	)	Examiner:
Int'l. Appln. No. PCT/NO99/00366	)	DEVICE BY TOOLS FOR SETTING OF A
Int'l. Filing Date: 6 December 1999	)	PRODUCTION PACKER

SUPPLEMENT TO TRANSMITTAL LETTER

Milwaukee, Wisconsin 53202  
June 7, 2001

Box PCT Application  
Commissioner for Patents  
Washington, D.C. 20231

Sir:

Entry of this application into the National Phase in the United States is pursuant to 37 C.F.R. §1.494(c)/1.495(c). The papers include an English language specification and claims, and the drawing. The oath or declaration of the applicant is not included. The declaration will be forwarded promptly upon notification from the U.S. Patent and Trademark Office.

Respectfully submitted,

ANDRUS, SCEALES, STARKE & SAWALL, LLP



Daniel D. Fetterley (Reg. No. 20,323)

100 East Wisconsin Avenue, Suite 1100  
Milwaukee, Wisconsin 53202  
(414) 271-7590  
Atty. Docket No.: 1935-00082 (C.11916-55)

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<u>Daniel D. Fetterley</u>	<u>20,323</u>
Name	Reg. No.
	<u>6/7/01</u>
Signature	Date

09/857679

531 Rec'd PCT/CT 07 JUN 2001

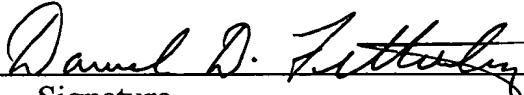
OLE KVERNSTUEN, Sv. ET AL

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Daniel D. Fetterley	20,323
Name	Reg. No.
	6/7/01
Signature	Date

097857679 PATENT

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of	)	Group Art Unit:
OLE KVERNSTUEN, Sv. ET AL.	)	Examiner: #7, #8, #9.
Int'l. Appln. No. PCT/NO99/00366	)	DEVICE BY TOOLS FOR SETTING OF A
Int'l. Filing Date: 6 December 1999	)	PRODUCTION PACKER
U.S. Appln. No. 09/857,679	)	

## RESPONSE TO NOTIFICATION OF MISSING REQUIREMENTS

Milwaukee, Wisconsin 53202  
September 4, 2001

Commissioner for Patents  
Box PCT  
Washington, D.C. 20231

Sir:

This correspondence is responsive to the Notification of Missing Requirements Under 35 U.S.C. 371 in the United States Designated/Elected Office mailed July 6, 2001 setting a response date of two months from the date of the Notice or September 6, 2001. This correspondence is being submitted within two months from the date of this Notice.

Responsive to the Notification of Missing Requirements mailed July 6, 2001, we enclose the following documents/items.

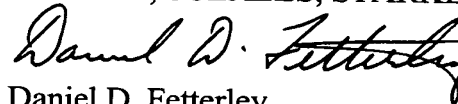
1. A copy of the Notification of July 6, 2001.
2. A declaration in this application executed by the inventor(s). The declaration includes the name(s) of the inventor(s), the title of the invention, and the International Appln. No. and filing date of the application. The application that the inventor(s) executed by signing the attached declaration is believed adequately identified in accordance with 1177 OG 60 of August 5, 1995.
3. A Second Preliminary Amendment.
4. An Information Disclosure Statement.

The amount of \$565.00 (small entity) covering the filing fee of \$500.00 for 16 claims, 1 of which is/are independent and the surcharge in the amount of \$65.00 was submitted with the application, as filed, on June 7, 2001. Kindly charge any additional fees under 37 C.F.R. §§1.16 or 1.17 incurred in connection with this application to Andrus, Sceales, Starke & Sawall Deposit Account No. 01.2000. A duplicate copy of this submission is attached.

Issuance of a Notice of Acceptance and entry of this application into the National Phase is respectfully requested.

Respectfully submitted,

ANDRUS, SCEALES, STARKE & SAWALL, LLP

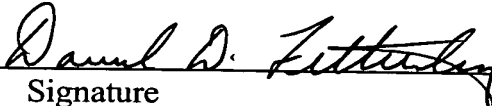


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<u>Daniel D. Fetterley</u>	<u>20,323</u>
Name	Reg. No.
<u></u>	<u>9/4/01</u>
Signature	Date